

Agenda



AGENDA for a meeting of the AUDIT COMMITTEE in COMMITTEE ROOM B at County Hall, Hertford on MONDAY, 26 MARCH 2018 at 10.00 AM

MEMBERS OF THE COMMITTEE (10) (Quorum 3)

P Bibby, S N Bloxham, F Button (*Chairman*), J M Graham, C K Hogg, A K Khan, A J S Mitchell, T J Williams, W J Wyatt-Lowe (*Vice-Chairman*), P M Zukowskyj

Meetings of the Committee are open to the public (this includes the press) and attendance is welcomed. However, there may be occasions when the public are excluded from the meeting for particular items of business. Any such items are taken at the end of the public part of the meeting and are listed under "Part II ('closed') agenda".

Committee Room B fitted with an audio system to assist those with hearing impairment. Anyone who wishes to use this should contact main (front) reception.

Members are reminded that all equalities implications and equalities impact assessments undertaken in relation to any matter on this agenda must be rigorously considered prior to any decision being reached on that matter.

Members are reminded that:

- (1) if they consider that they have a Disclosable Pecuniary Interest in any matter to be considered at the meeting they must declare that interest and must not participate in or vote on that matter unless a dispensation has been granted by the Standards Committee;
- (2) if they consider that they have a Declarable Interest (as defined in paragraph 5.3 of the Code of Conduct for Members) in any matter to be considered at the meeting they must declare the existence and nature of that interest. If a member has a Declarable Interest they should consider whether they should participate in consideration of the matter and vote on it.

PART I (PUBLIC) AGENDA

1. MINUTES

To confirm the minutes of the meeting held on 1 December 2017.

2. UPDATE ON PREPARATIONS FOR 2017/18 AUDIT

Report of the Director of Resources

3. REPORT ON LETTERS OF REPRESENTATION ON MANAGEMENT AND OVERSIGHT OF THE HERTFORDSHIRE COUNTY COUNCIL (INCLUDING FIREFIGHTERS' PENSION FUND) AND HERTFORDSHIRE PENSION FUND ACCOUNTS 2017/18

Report of the Director of Resources

4. RISK MANAGEMENT QUARTERLY UPDATE AND ANNUAL SUMMARY 2017/18

Report of the Director of Resources

5. RISK FOCUS REPORT – Recruitment and Retention

Report of the Director of Resources

6. INTERNAL AUDIT PROGRESS REPORT

Report of the Director of Resources

7. 2018/19 INTERNAL AUDIT PLAN REPORT

Report of the Director of Resources

8. ANTI-FRAUD ACTION PLAN - PROGRESS FOR 2017/18 AND PLAN FOR 2018/2019

Report of the Director of Resources

9. FUTURE WORK PROGRAMME

The Committee is invited to agree its future rolling work programme, suggested as follows:-

<p>Tuesday, 15 May 2018: 10.00 am</p> <p>(Meeting to be preceded by training on Risk)</p>	<ul style="list-style-type: none"> • Annual Governance Statement 2017/18 and Code of Corporate Governance- moved forward from July meeting • Annual Assurance Statement and Internal Audit Annual Report 2017/18- moved forward from July meeting • PREVENT report
<p>Wednesday, 18 July 2018: 10.00 am</p>	<ul style="list-style-type: none"> • Internal Audit Progress Report • Risk Management Update Report • Risk Focus Report • HCC Audit Results Report 2017/18 • Response To The Audit Results Report – HCC (Including Fire Fighters' Pension Fund) Financial Statements • Annual Statement Of Accounts 2017/18– HCC (Including Fire Fighters' Pension Fund) Financial Statements

	<ul style="list-style-type: none"> • Audit Results Report 2017/18 – HCC Pension Fund • Response To The Audit Results Report 2017/18 – HCC Pension Fund • Annual Report 2017/18 • End of Year Report on the Treasury Management Service and Prudential Indicators 2017/18 • Whistle Blowing Annual Report 2017/18
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EXCLUSION OF PRESS AND PUBLIC

The Chairman will move:-

“That under Section 100(A)(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraph 7 of Part 1 of Schedule 12A to the said Act and the public interest in maintaining the exemption outweighs the public interest in disclosing the information.”

PART II (‘CLOSED’) AGENDA

1. MINUTES PART II

To confirm the PART II minutes of the meeting held on 1 December 2017.

2. RISK FOCUS REPORT – PREVENT – Radicalisation

Report of the Director of Community Protection and Chief Fire Officer

If you require further information about this agenda please contact Theresa Baker, Democratic Services Officer, on telephone no (01992) 556545 or by e-mail to theresa.baker@hertfordshire.gov.uk

Agenda documents are also available on the internet at:

<https://cmis.hertfordshire.gov.uk/hertfordshire/Calendarofcouncilmeetings.aspx>